# Hash Tables: Hash Functions 

Michael Levin

Higher School of Economics

## Data Structures <br> Data Structures and Algorithms

## Outline

## (1) Good Hash Functions

(2) Universal Family
(3) Hashing Integers
(4) Hashing Strings

## Phone Book

Design a data structure to store your contacts: names of people along with their phone numbers. The data structure should be able to do the following quickly:

- Add and delete contacts,
- Lookup the phone number by name,
- Determine who is calling given their phone number.
- We need two Maps:
(phone number $\rightarrow$ name) and (name $\rightarrow$ phone number)
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(phone number $\rightarrow$ name) and (name $\rightarrow$ phone number)
- Implement these Maps as hash tables
- First, we will focus on the Map from phone numbers to names


## Direct Addressing

- $\operatorname{int}(123-45-67)=1234567$


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- Create array Name of size $10^{L}$ where $L$ is the maximum allowed phone number length
- Store the name corresponding to phone number $P$ in Name[int $(P)$ ]
- If no contact with phone number $P$, Name[int $(P)]=\mathrm{N} / \mathrm{A}$


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- Memory usage: $O\left(10^{L}\right)$, where $L$ is the maximum length of a phone number
- Problematic with international numbers of length 12 and more: we will need $10^{12}$ bytes $=1 \mathrm{~TB}$ to store one person's phone book - this won't fit in anyone's phone!


## Chaining

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- Chain Name[h(int(P))] contains the name for phone number $P$


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- You want small $m$ and $c$ !


## Good Example



## Bad Example



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- $h(800-123-45-67)=800$
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- $h(425-234-55-67)=$
$h(425-123-45-67)=$ $h(425-223-23-23)=\cdots=425$
Last Digits

■ Select $m=1000$
Last Digits

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Last Digits

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- $h(800-123-45-67)=567$

■ Problem if many phone numbers end with three zeros

## Random Value

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■ Hash function must be deterministic

## Good Hash Functions

- Deterministic
- Fast to compute
- Distributes keys well into different cells

■ Few collisions

## No Universal Hash Function

## Lemma

If number of possible keys is $\operatorname{big}(|U| \gg m)$, for any hash function $h$ there is a bad input resulting in many collisions.





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- Remember QuickSort?
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■ Use randomization!

- Define a family (set) of hash functions
- Choose random function from the family


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\mathcal{H}=\{h: U \rightarrow\{0,1,2, \ldots, m-1\}\}
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is called a universal family if for any two keys $x, y \in U, x \neq y$ the probability of collision

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\operatorname{Pr}[h(x)=h(y)] \leq \frac{1}{m}
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## Universal Family

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means that a collision $h(x)=h(y)$ on selected keys $x$ and $y, x \neq y$ happens for no more than $\frac{1}{m}$ of all hash functions $h \in \mathcal{H}$.

## How Randomization Works

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■ All hash functions in $\mathcal{H}$ are deterministic

- Select a random function $h$ from $\mathcal{H}$
- Fixed $h$ is used throughout the algorithm


## Running Time

## Lemma

If $h$ is chosen randomly from a universal family, the average length of the longest chain $c$ is $O(1+\alpha)$, where $\alpha=\frac{n}{m}$ is the load factor of the hash table.

## Corollary

If $h$ is from universal family, operations with hash table run on average in time $O(1+\alpha)$.

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## Choosing Hash Table Size

- Control amount of memory used with $m$
- Ideally, load factor $0.5<\alpha<1$
- Use $O(m)=O\left(\frac{n}{\alpha}\right)=O(n)$ memory to store $n$ keys
- Operations run in time $O(1+\alpha)=O(1)$ on average


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## Dynamic Hash Tables

- What if number of keys $n$ is unknown in advance?

■ Start with very big hash table?

- You will waste a lot of memory
- Copy the idea of dynamic arrays!
- Resize the hash table when $\alpha$ becomes too large
- Choose new hash function and rehash all the objects


## Keep load factor below 0.9:

## Rehash (T)

loadFactor $\leftarrow \frac{T \text {.number0fKeys }}{T . \text { size }}$
if loadFactor > 0.9:
Create $T_{\text {new }}$ of size $2 \times$ T.size
Choose $h_{\text {new }}$ with cardinality $T_{\text {new }}$.size For each object $O$ in $T$ :

Insert $O$ in $T_{\text {new }}$ using $h_{\text {new }}$
$T \leftarrow T_{\text {new }}, h \leftarrow h_{\text {new }}$

## Rehash Running Time

You should call Rehash after each operation with the hash table

Similarly to dynamic arrays, single rehashing takes $O(n)$ time, but amortized running time of each operation with hash table is still $O(1)$ on average, because rehashing will be rare

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## Take phone numbers up to length 7, for example 148-25-67

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- Convert phone numbers to integers from 0 to $10^{7}-1=9999$ 999:
148-25-67 $\rightarrow 1482567$
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- Convert phone numbers to integers from 0 to $10^{7}-1=9999$ 999: 148-25-67 $\rightarrow 1482567$
- Choose prime number bigger than $10^{7}$, e.g. $p=10000019$

■ Choose hash table size, e.g. $m=1000$

## Hashing Integers

## Lemma

$\mathcal{H}_{p}=\left\{h_{p}^{a, b}(x)=((a x+b) \bmod p) \bmod m\right\}$ for all $a, b: 1 \leq a \leq p-1,0 \leq b \leq p-1$ is a universal family

## Hashing Phone Numbers

## Example

Select $a=34, b=2$, so $h=h_{p}^{34,2}$ and consider $x=1482567$ corresponding to phone number 148-25-67. $p=10000019$.

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Select $a=34, b=2$, so $h=h_{p}^{34,2}$ and consider $x=1482567$ corresponding to phone number 148-25-67. $p=10000019$.
$(34 \times 1482567+2) \bmod 10000019=407185$

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## Example

Select $a=34, b=2$, so $h=h_{p}^{34,2}$ and consider $x=1482567$ corresponding to phone number 148-25-67. $p=10000019$.
$(34 \times 1482567+2) \bmod 10000019=407185$
$407185 \bmod 1000=185$

## Hashing Phone Numbers

## Example

Select $a=34, b=2$, so $h=h_{p}^{34,2}$ and consider $x=1482567$ corresponding to phone number 148-25-67. $p=10000019$.
$(34 \times 1482567+2) \bmod 10000019=407185$
$407185 \bmod 1000=185$
$h(x)=185$

## General Case

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- Define maximum length $L$ of a phone number
- Convert phone numbers to integers from 0 to $10^{L}-1$
- Choose prime number $p>10^{L}$
- Choose hash table size $m$
- Choose random hash function from universal family $\mathcal{H}_{p}$ (choose random $a \in[1, p-1]$ and $b \in[0, p-1])$


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# Lookup Phone Numbers by Name 

■ Now we need to implement the Map from names to phone numbers

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■ Hash arbitrary strings of characters

# Lookup Phone Numbers by Name 

- Now we need to implement the Map from names to phone numbers
- Can also use chaining

■ Need a hash function defined on names

- Hash arbitrary strings of characters
- You will learn how string hashing is implemented in Java!


## String Length Notation

## Definition

Denote by $|S|$ the length of string $S$.
Examples
$\left|‘ a^{\prime} "\right|=1$
|‘'ab"' $=2$
|'‘abcde’"| = 5

## Hashing Strings

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- Otherwise there will be many collisions:


## Hashing Strings

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■ We should use all the characters in the hash function

- Otherwise there will be many collisions:
- For example, if $S[0]$ is not used, $h\left(\right.$ '"aa'') $=h\left(\right.$ '" $\left.b a a^{\prime \prime}\right)=\cdots=h\left({ }^{\prime} z^{\prime}{ }^{\prime \prime}\right)$


## Preparation

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- ASCII code, Unicode, etc.
- Choose big prime number $p$


## Polynomial Hashing

## Definition

Family of hash functions

$$
\mathcal{P}_{p}=\left\{h_{p}^{\times}(S)=\sum_{i=0}^{|S|-1} S[i] x^{i} \bmod p\right\}
$$

with a fixed prime $p$ and all $1 \leq x \leq p-1$ is called polynomial.

## PolyHash $(S, p, x)$

hash $\leftarrow 0$
for $i$ from $|S|-1$ down to 0 : hash $\leftarrow($ hash $\times x+S[i]) \bmod p$
return hash
Example: $|S|=3$
1 hash $=0$
[ hash $=S[2] \bmod p$
3 hash $=S[1]+S[2] x \bmod p$
4 hash $=S[0]+S[1] x+S[2] x^{2} \bmod p$

## Java Implementation

The method hashCode of the built-in Java class String is very similar to our PolyHash, it just uses $x=31$ and for technical reasons avoids the $(\bmod p)$ operator.

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You now know how a function that is used trillions of times a day in many thousands of programs is implemented!

## Lemma

For any two different strings $s_{1}$ and $s_{2}$ of length at most $L+1$, if you choose $h$ from $\mathcal{P}_{p}$ at random (by selecting a random $x \in[1, p-1])$, the probability of collision $\operatorname{Pr}\left[h\left(s_{1}\right)=h\left(s_{2}\right)\right]$ is at most $\frac{L}{p}$.

## Proof idea

This follows from the fact that the equation $a_{0}+a_{1} x+a_{2} x^{2}+\cdots+a_{L} x^{L}=0(\bmod p)$ for prime $p$ has at most $L$ different solutions $x$.

## Cardinality Fix

For use in a hash table of size $m$, we need a hash function of cardinality $m$.

First apply random $h$ from $\mathcal{P}_{p}$ and then hash the resulting value again using integer hashing. Denote the resulting function by $h_{m}$.

## Lemma

For any two different strings $s_{1}$ and $s_{2}$ of length at most $L+1$ and cardinality $m$, the probability of collision $\operatorname{Pr}\left[h_{m}\left(s_{1}\right)=h_{m}\left(s_{2}\right)\right]$ is at most $\frac{1}{m}+\frac{L}{p}$.

## Polynomial Hashing

## Corollary

If $p>m L$, for any two different strings $s_{1}$ and $s_{2}$ of length at most $L+1$ the probability of collision $\operatorname{Pr}\left[h_{m}\left(s_{1}\right)=h_{m}\left(s_{2}\right)\right]$ is $O\left(\frac{1}{m}\right)$.

$$
\begin{align*}
& \text { Proof } \\
& \frac{1}{m}+\frac{L}{p}<\frac{1}{m}+\frac{L}{m L}=\frac{1}{m}+\frac{1}{m}=\frac{2}{m}=O\left(\frac{1}{m}\right)
\end{align*}
$$

## Running Time

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- For big enough $p$ again have $c=O(1+\alpha)$
- Computing PolyHash(S) runs in time $O(|S|)$
- If lengths of the names in the phone book are bounded by constant $L$, computing $h(S)$ takes $O(L)=O(1)$ time


## Conclusion

- You learned how to hash integers and strings
- Phone book can be implemented as two hash tables
- Mapping phone numbers to names and back
- Search and modification run on average in $O(1)$ !

