# Hash Tables: String Search 

Michael Levin

Higher School of Economics

## Data Structures <br> Data Structures and Algorithms

## Outline

(1) Search Pattern in Text (2) Rabin-Karp's Algorithm
(3) Improving Running Time

## Searching for Patterns

Given a text $T$ (book, website, facebook profile) and a pattern $P$ (word, phrase, sentence), find all occurrences of $P$ in $T$.

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## Examples

■ Your name on a website

- Twitter messages about your company


## Searching for Patterns

Given a text $T$ (book, website, facebook profile) and a pattern $P$ (word, phrase, sentence), find all occurrences of $P$ in $T$.

## Examples

- Your name on a website
- Twitter messages about your company
- Detect files infected by virus - code patterns


## Substring Notation

## Definition

Denote by $S[i . . j]$ the substring of string $S$ starting in position $i$ and ending in position $j$.

## Examples

If $S=$ 'abcde"', then
$S[0 . .4]=$ "abcde" ,
$S[1 . .3]=$ " $b c d$ ",
$S[2 . .2]=$ " $c$ ".

## Find Pattern in Text

Input: Strings $T$ and $P$.
Output: All such positions $i$ in $T$,

$$
\begin{aligned}
& 0 \leq i \leq|T|-|P| \text { that } \\
& T[i . . i+|P|-1]=P .
\end{aligned}
$$

## Naive Algorithm

For each position $i$ from 0 to $|T|-|P|$, check character-by-character whether $T[i . . i+|P|-1]=P$ or not. If yes, append $i$ to the result.

## AreEqual $\left(S_{1}, S_{2}\right)$

if $\left|S_{1}\right| \neq\left|S_{2}\right|$ :
return False
for $i$ from 0 to $\left|S_{1}\right|-1$ : if $S_{1}[i] \neq S_{2}[i]$ : return False
return True

## FindPatternNaive( $T, P$ )

result $\leftarrow$ empty list
for $i$ from 0 to $|T|-|P|$ :
if AreEqual( $T[i . . i+|P|-1], P)$ : result.Append (i)
return result

## Running Time

## Lemma

Running time of FindPatternNaive $(T, P)$
is $O(|T||P|)$.

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Proof

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## Proof

- Each AreEqual call is $O(|P|)$
$\square|T|-|P|+1$ calls of AreEqual total to $O((|T|-|P|+1)|P|)=O(|T||P|)$ $\square$


## Bad Example

If $T=$ "aaa $\ldots$ aa" and $P=$ "'aaa $\ldots$ ab", and $|T| \gg|P|$, then for each position $i$ in $T$ from 0 to $|T|-|P|$ the call to AreEqual has to make all $|P|$ comparisons.

This is because $T[i . . i+|P|-1]$ and $P$ differ only in the last character.

Thus, in this case the naive algorithm runs in time $\Theta(|T||P|)$.

## Outline

## (1) Search Pattern in Text

(2) Rabin-Karp's Algorithm
(3) Improving Running Time

## Rabin-Karp's Algorithm

- Need to compare $P$ with all substrings $S$ of $T$ of length $|P|$


## Rabin-Karp's Algorithm

- Need to compare $P$ with all substrings $S$ of $T$ of length $|P|$
■ Idea: use hashing to quickly compare $P$ with substrings of $T$


## Rabin-Karp's Algorithm

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- Use polynomial hash family $\mathcal{P}_{p}$ with prime $p$


## Rabin-Karp's Algorithm

- If $h(P) \neq h(S)$, then definitely $P \neq S$
- If $h(P)=h(S)$, call $\operatorname{AreEqual}(P, S)$
- Use polynomial hash family $\mathcal{P}_{\rho}$ with prime $p$
- If $P \neq S$, the probability
$\operatorname{Pr}[h(P)=h(S)]$ is at most $\frac{|P|}{p}$ for polynomial hashing


## RabinKarp $(T, P)$

$p \leftarrow \operatorname{big}$ prime, $x \leftarrow \operatorname{random}(1, p-1)$
result $\leftarrow$ empty list
pHash $\leftarrow \operatorname{PolyHash}(P, p, x)$ for $i$ from 0 to $|T|-|P|$ : tHash $\leftarrow \operatorname{PolyHash}(T[i . . i+|P|-1], p, x)$ if pHash $\neq$ tHash:
continue
if AreEqual( $T[i . . i+|P|-1], P)$ : result.Append (i)
return result

## False Alarms

"False alarm" is the event when $P$ is compared with $T[i . . i+|P|-1]$, but $P \neq T[i . . i+|P|-1]$.
The probability of "false alarm" is at most $\frac{|P|}{p}$
On average, the total number of "false alarms" will be $(|T|-|P|+1) \frac{|P|}{p}$, which can be made small by selecting $p \gg|T||P|$.

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## Running Time without AreEqual

- $h(P)$ is computed in $O(|P|)$
- $h(T[i . . i+|P|-1])$ is computed in
$O(|P|),|T|-|P|+1$ times
- $O(|P|)+O((|T|-|P|+1)|P|)=$ $O(|T||P|)$


## AreEqual Running Time

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## AreEqual Running Time

- AreEqual is computed in $O(|P|)$
- AreEqual is called only when $h(P)=h(T[i . . i+|P|-1])$, meaning that either an occurrence of $P$ is found or a "false alarm" happened
- By selecting $p \gg|T||P|$ we make the number of "false alarms" negligible


## Total Running Time

- If $P$ is found $q$ times in $T$, then total time spent in AreEqual is
$O\left(\left(q+\frac{(|T|-|P|+1)|P|}{p}\right)|P|\right)=O(q|P|)$ for $p \gg|T||P|$


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$p \gg|T||P|$
- Total running time is
$O(|T||P|)+O(q|P|)=O(|T||P|)$ as
$q \leq|T|$
- Same as naive algorithm, but can be improved!


## Outline

## (1) Search Pattern in Text

## (2) Rabin-Karp's Algorithm

(3) Improving Running Time

# Improving Running Time 

$$
h(S)=\sum_{i=0}^{|S|-1} S[i] x^{i} \bmod p
$$

## Improving Running Time

$$
\begin{gathered}
h(S)=\sum_{i=0}^{|S|-1} S[i] x^{i} \bmod p \\
h(T[i . . i+|P|-1])=\sum_{j=i}^{i+|P|-1} T[j] x^{j-i} \bmod p
\end{gathered}
$$

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\begin{gathered}
\text { Improving Running Time } \\
h(S)=\sum_{i=0}^{|S|-1} S[j] x^{i} \bmod p \\
h(T[i . . i+|P|-1])=\sum_{j=i}^{i+|P|-1} T[j] x^{j-i} \bmod p
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Idea: polynomial hashes of two consecutive substrings of $T$ are very similar

## Improving Running Time

$$
h(S)=\sum_{i=0}^{|S|-1} S[i] x^{i} \bmod p
$$

$h(T[i . . i+|P|-1])=\sum_{j=i}^{i+|P|-1} T[j] x^{j-i} \bmod p$
Idea: polynomial hashes of two consecutive substrings of $T$ are very similar

For each $i$ denote $h(T[i . . i+|P|-1])$ by $H[i]$

Consecutive substrings

$$
\begin{aligned}
& T=\begin{array}{lllll}
T & \mathrm{~b} & \mathrm{c} & \mathrm{~b} & \mathrm{~d} \\
T^{\prime} & =\begin{array}{l|l|l|l|l|}
\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array} \quad|P|=3
\end{array}
\end{aligned}
$$

## Consecutive substrings

$$
\begin{aligned}
& \begin{array}{l}
T= \\
T^{\prime} \\
T^{\prime}= \\
\begin{array}{|l|l|l|l|l|}
\hline 0 & 1 & c & b & 1
\end{array} \\
\hline
\end{array} \quad|P|=3 \\
& h(" c b d ")=
\end{aligned}
$$

## Consecutive substrings

$$
\begin{aligned}
& h(" c b d ")=1 \quad x x^{2}
\end{aligned}
$$

## Consecutive substrings

$$
\begin{aligned}
& T=a b c c c \\
& T^{\prime}=\begin{array}{|l|l|l|l|l|}
\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array}|P|=3 \\
& h(" c b d ")=2 \quad x 3 x^{2}
\end{aligned}
$$

## Consecutive substrings

$$
\begin{aligned}
& \begin{array}{l}
T=\begin{array}{llllll}
T & b & c & b & d \\
T^{\prime} & =\begin{array}{l|l|l|l|l|}
\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array} \quad|P|=3
\end{array}
\end{array} \\
& h(" c b d ")=2+x+3 x^{2}
\end{aligned}
$$

## Consecutive substrings

$$
h(" \mathrm{bcb} ")=
$$

$$
\begin{aligned}
& \begin{aligned}
T & =\begin{array}{llllll} 
& b & c & b & d \\
T^{\prime} & =\begin{array}{l|l|l|l|l|}
\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array} \quad|P|=3
\end{array}
\end{aligned} \\
& h(" c b d ")=2+x+3 x^{2}
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\begin{aligned}
& h(" c b d ")=2+x+3 x^{2} \\
& h(" \mathrm{bcb} \text { " })=1 \quad x \quad x^{2}
\end{aligned}
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## Consecutive substrings

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\begin{aligned}
& h(" c b d ")=2+x+3 x^{2} \\
& h(" \mathrm{bcb} ")=12 x x^{2}
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& h(" c b d ")=2+x+3 x^{2} \\
& h(\text { "bcb" })=1+2 x+x^{2}
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\hline
\end{array} \quad|P|=3
\end{array}
\end{array} \\
& h(" c b d ")=2+x+3 x^{2} \\
& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2}
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& h(" c b d ")=2+x+3 x^{2} \\
& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2} \\
& H[2]=h(\text { "cbd" })=2+x+3 x^{2}
\end{aligned}
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\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array} \quad|P|=3
\end{array}
\end{aligned} \\
& h(" c b d ")=2+x+3 x^{2} \\
& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2} \\
& H[2]=h(\text { "cbd" })=2+x+3 x^{2} \\
& H[1]=h(" \mathrm{bcb} ")=1+2 x+x^{2}=
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& h(" c b d ")=2+x+3 x^{2} \\
& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2} \\
& H[2]=h(\text { "cbd" })=2+x+3 x^{2} \\
& H[1]=h(" \mathrm{bcb} ")=1+2 x+x^{2}= \\
& =1+x(2+x)=
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& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2} \\
& H[2]=h(\text { "cbd" })=2+x+3 x^{2} \\
& H[1]=h(\text { " } \mathrm{bcb} ")=1+2 x+x^{2}= \\
& =1+x(2+x)= \\
& =1+x\left(2+x+3 x^{2}\right)-3 x^{3}=
\end{aligned}
$$

## Consecutive substrings

$$
\begin{aligned}
& T=a \quad b \quad c \quad b \quad d \\
& T^{\prime}=\begin{array}{|l|l|l|l|l|}
\hline 0 & 1 & 2 & 1 & 3 \\
\hline
\end{array} \quad|P|=3 \\
& h(" c b d ")=2+x+3 x^{2} \\
& \downarrow \times x \downarrow \times x \\
& h(\text { "bcb" })=1+2 x+x^{2} \\
& H[2]=h(" c b d ")=2+x+3 x^{2} \\
& H[1]=h(\text { " } \mathrm{bcb} ")=1+2 x+x^{2}= \\
& =1+x(2+x)= \\
& =1+x\left(2+x+3 x^{2}\right)-3 x^{3}= \\
& =x H[2]+1-3 x^{3}
\end{aligned}
$$

## Recurrence of Hashes

$$
H[i+1]=\sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1} \bmod p
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\begin{aligned}
& H[i+1]=\sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1} \bmod p \\
& H[i]=\sum_{j=i}^{i+|P|-1} T[j] x^{j-i} \bmod p=
\end{aligned}
$$

## Recurrence of Hashes

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\begin{aligned}
& H[i+1]=\sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1} \bmod p \\
& H[i]=\sum_{j=i}^{i+|P|-1} T[j] x^{j-i} \bmod p= \\
& =\sum_{j=i+1}^{i+|P|} T[j] x^{j-i}+T[i]-T[i+|P|] x^{|P|} \bmod p=
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& =\sum_{j=i+1}^{i+|P|} T[j] x^{j-i}+T[i]-T[i+|P|] x^{|P|} \bmod p= \\
& =x \sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1}+\left(T[i]-T[i+|P|] x^{|P|}\right) \bmod p
\end{aligned}
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& H[i+1]=\sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1} \bmod p \\
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& =\sum_{j=i+1}^{i+|P|} T[j] x^{j-i}+T[i]-T[i+|P|] x^{|P|} \bmod p= \\
& =x \sum_{j=i+1}^{i+|P|} T[j] x^{j-i-1}+\left(T[i]-T[i+|P|] x^{|P|}\right) \bmod p
\end{aligned}
$$

$$
H[i]=x H[i+1]+\left(T[i]-T[i+|P|] x^{|P|}\right) \bmod p
$$

## PrecomputeHashes $(T,|P|, p, x)$

$H \leftarrow$ array of length $|T|-|P|+1$
$S \leftarrow T[|T|-|P| . .|T|-1]$ $H[|T|-|P|] \leftarrow$ PolyHash $(S, p, x)$
$y \leftarrow 1$
for $i$ from 1 to $|P|$ :
$y \leftarrow(y \times x) \bmod p$
for $i$ from $|T|-|P|-1$ down to 0 :

$$
H[i] \leftarrow(x H[i+1]+T[i]-y T[i+|P|]) \bmod p
$$

return $H$

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$H \leftarrow$ array of length $|T|-|P|+1$
$S \leftarrow T[|T|-|P| . .|T|-1]$ $H[|T|-|P|] \leftarrow \operatorname{PolyHash}(S, p, x)$
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for $i$ from 1 to $|P|$ :
$y \leftarrow(y \times x) \bmod p$
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return $H$
$O(|P|$

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$y \leftarrow 1$
for $i$ from 1 to $|P|$ :
$y \leftarrow(y \times x) \bmod p$
for $i$ from $|T|-|P|-1$ down to 0 :

$$
H[i] \leftarrow(x H[i+1]+T[i]-y T[i+|P|]) \bmod p
$$

return $H$
$O(|P|+|P|$

## PrecomputeHashes $(T,|P|, p, x)$

$H \leftarrow$ array of length $|T|-|P|+1$
$S \leftarrow T[|T|-|P| . .|T|-1]$
$H[|T|-|P|] \leftarrow \operatorname{PolyHash}(S, p, x)$
$y \leftarrow 1$
for $i$ from 1 to $|P|$ :
$y \leftarrow(y \times x) \bmod p$
for $i$ from $|T|-|P|-1$ down to 0 :

$$
H[i] \leftarrow(x H[i+1]+T[i]-y T[i+|P|]) \bmod p
$$

return $H$
$O(|P|+|P|+|T|-|P|)=O(|T|+|P|)$

## Precomputing $H$

- PolyHash is called once $-O(|P|)$
- First for loop runs in $O(|P|)$
- Second for loop runs in $O(|T|-|P|)$
- Total precomputation time $O(|T|+|P|)$


## RabinKarp $(T, P)$

$p \leftarrow \operatorname{big}$ prime, $x \leftarrow \operatorname{random}(1, p-1)$
result $\leftarrow$ empty list
pHash $\leftarrow \operatorname{PolyHash}(P, p, x)$ $H \leftarrow \operatorname{PrecomputeHashes}(T,|P|, p, x)$ for $i$ from 0 to $|T|-|P|$ :
if pHash $\neq H[i]$ :
continue
if AreEqual( $T[i . . i+|P|-1], P)$ : result.Append (i)
return result

Improved Running Time - $h(P)$ is computed in $O(|P|)$

## Improved Running Time

- $h(P)$ is computed in $O(|P|)$
- PrecomputeHashes runs in
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## Improved Running Time

- $h(P)$ is computed in $O(|P|)$
- PrecomputeHashes runs in $O(|T|+|P|)$
- Total time spent in AreEqual is $O(q|P|)$ on average where $q$ is the number of occurrences of $P$ in $T$


## Improved Running Time

- $h(P)$ is computed in $O(|P|)$
- PrecomputeHashes runs in $O(|T|+|P|)$
- Total time spent in AreEqual is $O(q|P|)$ on average where $q$ is the number of occurrences of $P$ in $T$
- Average running time
$O(|T|+(q+1)|P|)$


## Improved Running Time

- $h(P)$ is computed in $O(|P|)$
- PrecomputeHashes runs in $O(|T|+|P|)$
- Total time spent in AreEqual is $O(q|P|)$ on average where $q$ is the number of occurrences of $P$ in $T$
- Average running time
$O(|T|+(q+1)|P|)$
- Usually $q$ is small, so this is much less than $O(|T||P|)$


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## Conclusion

- Hash tables are useful for storing Sets and Maps
- Possible to search and modify hash tables in $O(1)$ on average!
- Must use good hash families and randomization
- Hashes are also useful while working with strings and texts
- There are many more applications in distributed systems and data science

